AUDITBOARD
COMMUNITY OFFICE HOURS

Welcome to our special edition of Community Office Hours:
Release Spotlight!

AuditBoard Product Experts will discuss recent/upcoming
enhancements and answer questions via Q/A

All functionality covered today is referenced in AuditBoard Advisor
<u>available on Help Center</u>.

Modules covered:
SOXHUB, OpsAudit, RiskOversight, CrossComply, TPRM, ESG

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Housekeeping Items

- Today's session is recorded and will be emailed to registrants by end of week
- **Live demos will be performed in AuditBoard demo sites**
- Some enhancements shared today are available for unique subscription tiers. Presenters will mention tier access prior to demo.
- When submitting a question via Q&A, share as much detail on your use-case as possible.
- If time permits, experts will address 1-2 questions live after demos. Team members are in Q&A chat to answer additional questions. Thank you!
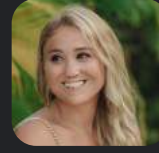
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Panelists

John Concillo
Customer Success
Manager - Strategic West

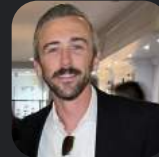**Bryce Mintie**
Product Manager -
RiskOversight

**Jennifer
Zackoff-Handler**
Product Manager, TPRM

Jonathan Nguyen
Product Manager,
CrossComply

Ali Rana
Product Manager,
OpsAudit

Brian Arndt
Customer Success
Manager - Strategic
West & Central

AUDITBOARD

The Modern Connected Risk Platform

Elevate your audit, risk, and compliance teams with the intelligent, collaborative, connected risk management platform.

RiskOversight
Risk Management Centralized
Integrate and elevate every element
of your risk management program.

ESG
ESG & Sustainability Operationalized
Streamline ESG program management
and ensure audit-ready data.

OpsAudit
Internal Audit Streamlined
Drive greater strategic impact and productivity
at every step of your audit program.

CrossComply
Compliance Management Unified
Accelerate cross-framework compliance,
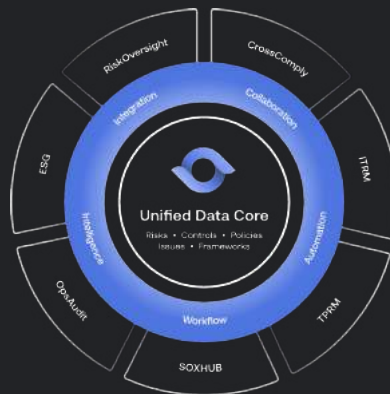including SOC, ISO, NIST, PCI, and more.

ITRM
IT Risk Management Transformed
Understand your threat landscape, quantify risks,
and improve cyber resilience.

SOXHUB
SOX Management Simplified
Tackle SOX compliance and controls
management with ease and precision.

TPRM
Vendor Risk Management Modernized
Visualize, assess, and mitigate the third-party
IT risks facing your organization.

Unified Data Core
Risks • Controls • Policies
Issues • Frameworks

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Q4 2023 | All New Product Capabilities

Platform	CrossComply	ESG	OpsAudit	RiskOversight	SOXHUB	TPRM
• AB AI (Beta)	• Framework Overview	• Qualitative Metrics	• AB Analytics	• ORM (Beta)	• AB Analytics	• Multiple Questionnaire Types & Scoring
	• Evidence Reuse in Assessments	• Multiple Reviewers (for Metric Certification Workflow)	• AB Annotate	• Risk Archiving	• AB Annotate	• Issue Management Enhancements
	• Inventory Scoped Assessments & Reporting		• Entity Risk Audit Planning	• Risk Intake Enhancements		• Owner Dashboard Enhancements
	• Framework Requirement Reporting (Maturity based)		• Audit Scoping			

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Introducing
AuditBoard AI

The most powerful Connected Risk Platform just got smarter.

Powered by AI, Auditboard provides the intelligent recommendations, proactive insights, and generative content needed to help you accelerate to impact faster than ever before.

Create New Risk ✕

*Name
Enterprise Fraud Risk

*Category
(Default Category)

Process

UID

✦ AuditBoard ✕
Please review and Accept.
Regenerate Accept

Description ✦
There is a risk of fraudulent activity occurring within the organization, including embezzlement, misappropriation of funds, and falsification of financial records, which could result in financial loss, reputational damage, and legal repercussions.

Owner(s)

Cancel Create Risk

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Introducing
AuditBoard Analytics

AuditBoard's no-code audit analytics solution empowers internal audit users with practical, purpose-built, OOTB use cases and the flexibility to build and deploy custom workflows to create greater audit efficiencies and completeness and improved business decisions, all part of our connected risk platform.
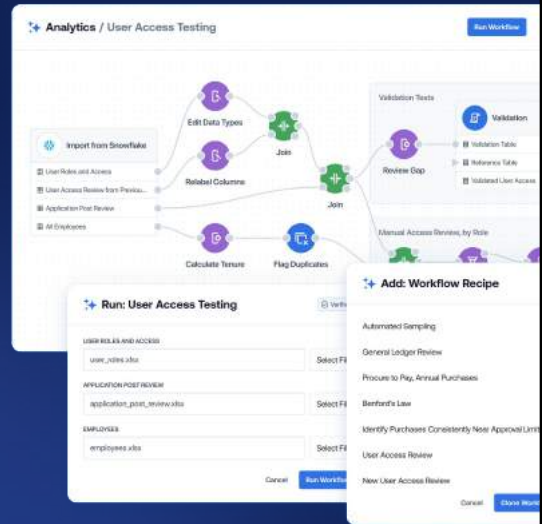
RiskOversight

Introducing
Operational Risk Management

Scale risk management to the front line and strengthen your business resilience.
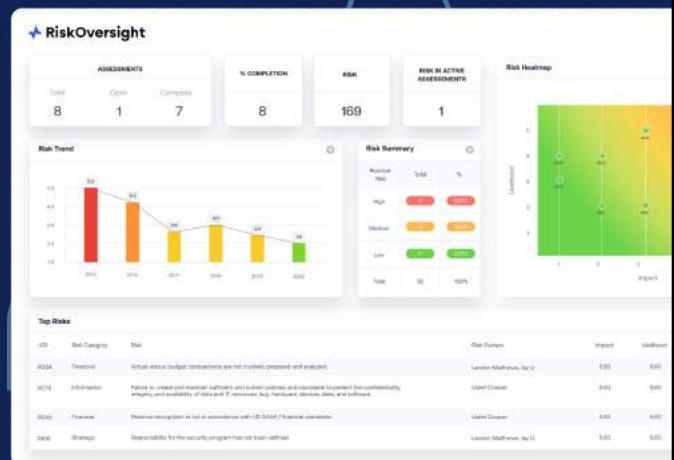
SOXHUB & OpsAudit: AuditBoard Annotate

Availability: Professional and Enterprise

- ***What?***
  - Drive quality, efficiency, and standardization with AuditBoard® Annotate, a fully integrated tickmarking, referencing, and markup solution that enables teams to compile and markup electronic work papers directly in the platform, further streamlining testing and review procedures.

- ***Feature Highlights:***
  - Control attribute builder with option to select relevant attributes by test phase
  - Ability to map supporting documents to specific testing samples
  - Embedded annotation tools for testers & reviewers
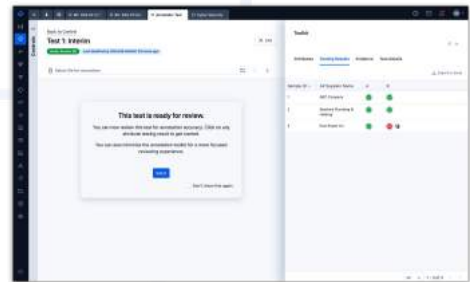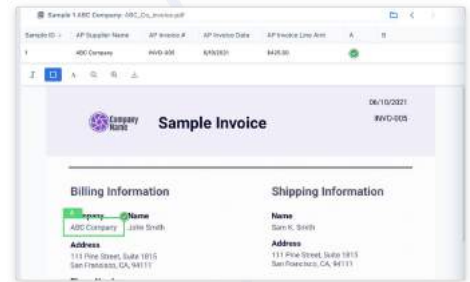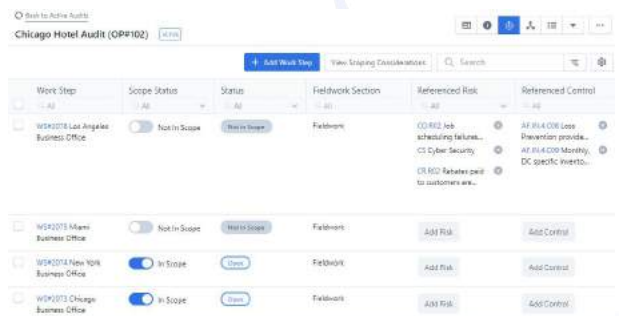

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OpsAudit: Audit Scoping

Availability: Professional and Enterprise

- ***What?***
  - Increase visibility and save time with new scoping features like a new "Audit Scope" page to document scoping considerations, ability to scope audits using integrated entity, risk, and control data from across the platform, and ability to automatically create work steps for in scope items.

- ***Feature highlights:***
  - Document scoping considerations in a new "Audit Scope" page
  - Scope audits using integrated entity, risk, and control data
  - Automatically create work steps based on in scope items

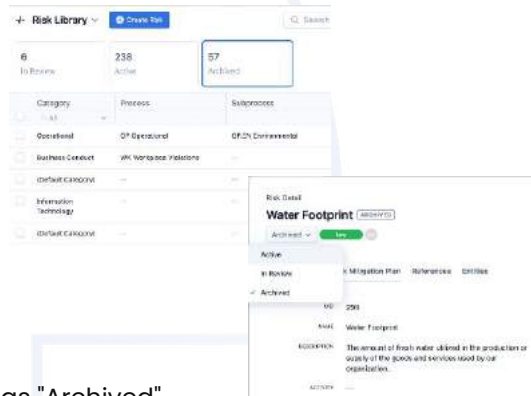**AUDITBOARD**

RiskOversight: Risk Archiving

Availability: Essentials, Professional, Enterprise

- ***What?***
  - A new, non-destructive solution for removing inactive or non-applicable risks from your environment without deleting history associated with those risks to drive a cleaner risk library and reduce confusion with internal stakeholders.

- ***Feature highlights:***
  - Mark risks that are no longer applicable as "Archived"
  - View "Archived" lists in a new list view separate from "Active" risks
  - Ensure "Archived" risks no longer appear in drop down selections

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CrossComply: Framework Overview

Availability: Essential, Professional and Enterprise

- **What?**
  - Your one-stop-shop for actionable insights related to compliance against a single framework. View automatic summaries of compliance against a single framework to easily identify the most critical tasks to prioritize and streamline program management.

- **Feature highlights**:
  - Brings all relevant information and connections of a framework into a singular compartment to enable compliance managers to operate their compliance programs effectively
  - Gives access to all fundamental data and workflows like framework Mapping, the status of projects, and current compliance status of a given framework
  - Highlights key insights and needed intervention so that compliance managers know what to prioritize and what meaningful actions they need to focus on next (e.g. Framework Issues Management)
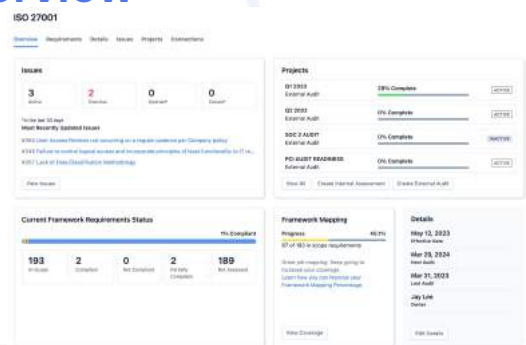
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TPRM: Multiple Questionnaire Type & Scores

Availability: Professional

- **What?**
  - Create additional questionnaire types with corresponding score fields and labels to create and track assessment results beyond the cyber risk domain (ex. breach assessments, financial assessments, legal assessments, privacy assessments, etc.).

- **Feature highlights**:
  - Ability to create new questionnaire types and an associated score type
  - Ability to associate a new questionnaire type to a questionnaire template
  - Ability to view these scores within a scores section on the vendor page

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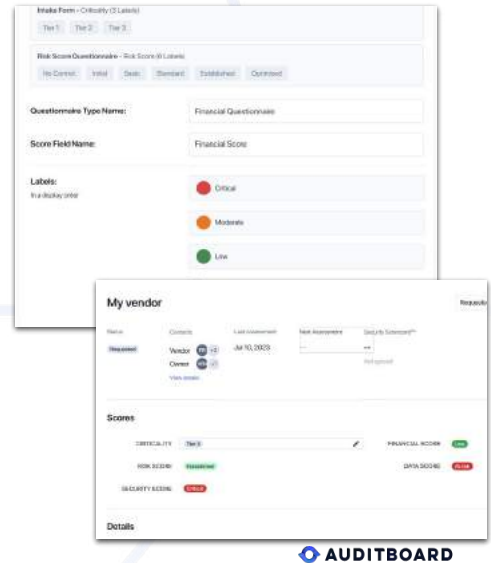
TPRM: Issue Management Enhancements

Availability: Essential, Professional

- **What?**
  - Easily determine the total number of findings in a questionnaire with an automated summary count of total findings and a new "View Findings" page that displays all questions and responses with issues. As action plans are created, users can also assign third-party contacts as remediation owners to streamline the remediation process and reduce third party risk. Finally, associate questionnaire responses to issues to provide better visibility into why this issue came about.

- **Feature highlights**:
  - Ability to assign Third-Party Users as Remediation Owners
  - New findings tab to quickly identify failed/flagged questions
  - Ability to create an issue from a questionnaire and link it to a question (Professional Only)

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ESG: Qualitative Metrics

Availability: Essentials and Professional

- **What?**
  - Collect, validate, and coordinate reviews of qualitative metrics directly in the platform to provide a comprehensive perspective of ESG performance, simplify alignment with reporting standards, and ensure regulatory compliance with GRI, SASB and other reporting frameworks focused on qualitative metrics.

- **Feature highlights**:
  - Qualitative metrics page to centralize all information in a single location
  - Ability to map qualitative metrics to framework requirements, topics, controls, issues, locations, and owners
  - Ability to add 3 question types to send to data owners (Free Text, Managed Change, Reference)

Resources

Education

We provide the best post-implementation resources and assets to help make AuditBoard a success in your organization.

Support

From our Help Center to our Support team, we are here to help.

Community

Find answers, ask questions, and connect with our community of consumers and specialists.

Webinar Recording will be available in Community!

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Questions & Answers

Introducing the SOXHUB Certified Administrator Exam

Register for the exam on AuditBoard Academy!

NEW Product Administrator Certifications coming in 2024!

SOXHUB
ADMINISTRATOR

AUDITBOARD
CERTIFIED

AUDITBOARD

Thank you.

Questions? Email customersuccess@auditboard.com.